

Date:	11 August 2016
Meeting:	CCG Governing Body
Item Number:	8.8
Public/Private:	Public <input checked="" type="checkbox"/> Private <input type="checkbox"/>

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Report Title:	CCG Audit Group: Summary
Decisions to be made:	None

Continue to improve the quality of services	<input type="checkbox"/>	Improve patient experience	<input type="checkbox"/>
Reduced unwarranted variations in services	<input type="checkbox"/>	Reduce the inequalities gap in North Lincolnshire	<input type="checkbox"/>
Deliver the best outcomes for every patient	<input type="checkbox"/>	Statutory/Regulatory	<input type="checkbox"/>

Executive Summary (Question, Options, Recommendations):
The summary Annual Report is agreed.

Equality Impact	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
Sustainability	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
Risk	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Legal	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Finance	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	

Patient, Public, Clinical and Stakeholder Engagement to date									
	N/A	Y	N	Date		N/A	Y	N	Date
Patient:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Clinical:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Other:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Audit Group Summary

July 2016

The Audit Group dealt with the remaining financial year end issues following the approval of the Annual Governance Statement:

- The Summary Annual Report was approved including confirmation from KPMG that it was in line with the audited AGS.
- The Annual Audit Letter was received from KPMG closing off the 2015-16 audit noting
 - Issue of an unqualified opinion on the Financial Statements stating that they show a true and fair view.
 - A VFM statement that NLCCG has proper arrangements to secure economy, efficiency and effectiveness in its use of resources.
 - That they formed an opinion of “regularity” of income and expenditure i.e. that the expenditure and income included in the financial statements conform to the authorities govern them.
- Internal Audit submitted an annual report of activity
 - Significant Assurance was given that there is generally a sound system of internal control designed to meet the organisations objectives.
 - During the year Significant Assurance was received in six reports with limited assurance in one (BCF).
- Counter Fraud issued an annual report giving assurance that NLCCG met NHS Protect standards.

An update on progress in introducing the Conflicts of Interest policy was received. The Audit Group needs reassurance on the administration process and resource before it can be confident to take on its role in supporting the Conflicts Guardian.

The revised format BAF and Assurance map was reviewed. The presentation is considered an improvement. The Audit Group looks forward to being briefed on how the devolved risk management structure will support the development of risk registers.

A draft Audit Group work plan was considered and will be developed interactively before the next meeting.