


Date:	14 th December 2017
Meeting:	Governing Body
Item Number:	Item 8.1
Public/Private:	Public <input checked="" type="checkbox"/> Private <input type="checkbox"/>

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Director approval/signature (MUST BE SIGNED)	Catherine Wylie 
Date:	6.12.17

Report Title:
Strategic Risk Register
Decisions to be made:
To review & note

Continue to improve the quality of services	<input type="checkbox"/>	Improve patient experience	<input type="checkbox"/>
Reduced unwarranted variations in services	<input type="checkbox"/>	Reduce the inequalities gap in North Lincolnshire	<input type="checkbox"/>
Deliver the best outcomes for every patient	<input type="checkbox"/>	Statutory/Regulatory	<input checked="" type="checkbox"/>

Executive Summary (Question, Options, Recommendations):
The attached Strategic Risk Register presents an overview of the key strategic risks facing the CCG at the current moment.
This Register represents an interim position; in that the CCG's Governing Body is committed to a comprehensive review and exposition of its strategic intent, objectives and associated risks over the following weeks. Following this consultative exercise a new strategic risk register, framed within the CCG's overarching Assurance Framework will be set. A key element of this work will be an exploration by the Governing Body of the CCG's risk appetite.
The Governing Body will note that additional columns; risk tolerance, target date and updates have been added – these will be populated in due course following consultation with identified leads.

Equality Impact	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
Sustainability	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
Risk	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	The Strategic Risk Register is a key element of the CCG's integrated approach to risk management.
Legal	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
Finance	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	

Patient, Public, Clinical and Stakeholder Engagement to date									
	N/A	Y	N	Date		N/A	Y	N	Date
Patient:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Clinical:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Other:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Risk ID	Link to Strategic Objective	Risk Definition	Key Controls	Source of Risks	Impact	Likelihood	Risk Score	Status	Previous Risk Score	Movement	Risk Tolerance Level	Assurance on Controls	Final External Assurance	Gap to Control	Internal Assurance	Last Review Date	Updated across	Last	Target date for completion Quarter and Year
5		A, B, C, D, E The CCG is not assured that the local Acute Provider (PLA) is improving against the special measures imposed by NHS Improvement following the CQC inspection	Monthly system improvement board with regulators and partners, Independent chair for the patient team group, a number of sub-committees set up to oversee the work plan of which the CCG are members, established a Humber Acute services review in response to providing safe sustainable services locally	CQC Inspectors, NHS 1 and Humber DSG SI reporting internally	5	5	25	H	New	NH		CQC Re inspection, SB monthly assurance and sub committee Humber acute services review work plan	CQC Re inspection, SB monthly assurance and sub committee Humber acute services review work plan	The reviews implemented as part of the special measures regime in the trust are still not fully understood and therefore mitigation cannot be fully developed	The reviews implemented as part of the special measures regime in the trust are still not fully understood and therefore mitigation cannot be fully developed	26/1/2017	NH	AO	Q4/2017-18
<p>A. Continue to improve the quality of services</p> <p>B. Reduce unvarnished variations in services</p> <p>C. Deliver the best outcomes for every patient</p> <p>D. Improve patient experience</p> <p>E. Reduce the inequalities gap in North Lincolnshire</p>																			

Assured against	1	2	3	4	5
Lowest	1	2	3	4	5
Probable	1	2	3	4	5
Unlikely	1	2	3	4	5
Rare	1	2	3	4	5
Probability	1	2	3	4	5
Severity	1	2	3	4	5