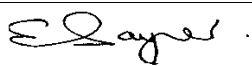


Date:	12 th April 2018
Meeting:	Governing Body
Item Number:	Item 12.2
Public/Private:	Public <input checked="" type="checkbox"/> Private <input type="checkbox"/>

Author: <i>(Name, Title)</i>	Erika Stoddart Chair Integrated Audit & Governance Committee
GB Lead: <i>(Name, Title)</i>	Emma Sayner Chief Finance Officer
Director approval	Emma Sayner
Director Signature	

Report Title:
Integrated Audit & Governance Committee Summary Report
Decisions to be made:
To note

Continue to improve the quality of services	<input type="checkbox"/>	Improve patient experience	<input type="checkbox"/>
Reduced unwarranted variations in services	<input type="checkbox"/>	Reduce the inequalities gap in North Lincolnshire	<input type="checkbox"/>
Deliver the best outcomes for every patient	<input type="checkbox"/>	Statutory/Regulatory	<input checked="" type="checkbox"/>
Purpose (tick one only)	Approval <input type="checkbox"/>	Information <input type="checkbox"/>	To note <input checked="" type="checkbox"/> Decision <input type="checkbox"/>

Executive Summary (Question, Options, Recommendations):	
To note the attached summary covers the main issues from the Integrated Audit and Governance Committee meeting held on the 8 th March 2018	
Recommendations	1 2 3
Report history	
Equality Impact	Yes <input type="checkbox"/> No <input type="checkbox"/>
Sustainability	Yes <input type="checkbox"/> No <input type="checkbox"/>
Risk	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Legal	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Finance	Yes <input type="checkbox"/> No <input type="checkbox"/>

Patient, Public, Clinical and Stakeholder Engagement to date									
	N/A	Y	N	Date		N/A	Y	N	Date
Patient:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Clinical:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Other:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Integrated Audit & Governance Committee (IA&GC) Update 8 Mar 2018

Committee members now meet internal and external audit separately from officers at the start of the meeting. This is good practice.

The committee has new Terms of Reference and new members which now includes all lay members and one GP.

The main internal audit report on implementation of Action plans for NHSE Directions. There was a discussion around the original PWC report and changes to governance since that report. The CFO Emma Sayner is going to review the action plans and retire any that are no longer appropriate. This will be reviewed at the next committee meeting. Follow up work will be needed on this before year end. Emma Sayner was comfortable that all deadlines would be met.

The Governing Body should be aware that though the PWC report was discussed by the governing body this was at a workshop therefore there were no minutes to the discussion. The IA&GC recommends that if this is the case in the future minutes are taken to show a clear audit trail that governing body members have reviewed and taken action.

The board heard from the new Audit One Counter Fraud team. There was a recommendation that days are shared across the Humber region to ensure outcomes are more meaningful. This is due to the low number of days each CCG has for this area.

External Audit detailed their audit plan for the year end. There will be another Section 30 letter for the Secretary of State as we are still in deficit.

The committee reviewed the risk registers and assurance framework and was pleased that good progress was made on the assurance framework. We will be looking at one section of the framework at each IA&GC. Next time this will be Governance.

The Committee had a brief on General Data Protection Regulations (GDPR) that is due to come into force on 25th May 2018. This is a significant piece of legislation and the CCG will need to appoint a Data Protection Officer. This is likely to be a shared resource.

The Annual Governance Statement was discussed. There is a timetable and agreed headings. The statement this year will need to make reference to Directions and the CCG's response.

The Committee reviewed the minutes of the Quality, Performance and Finance Committee and the Planning and Commissioning Committee (formerly The Engine Room).

The Committee thanked Ian Reekie for his service on his retirement and also agreed to a survey on the effectiveness of the committee

Erika Stoddart

Lay Chair of Governance and Chair of the Integrated Audit and Governance Committee

28 March 2018