

<b>Date:</b>	9 <sup>th</sup> August 2018
<b>Meeting:</b>	Governing Body
<b>Item Number:</b>	Item 12.2
<b>Public/Private:</b>	Public <input checked="" type="checkbox"/> Private <input type="checkbox"/>

<b>Author:</b> <i>(Name, Title)</i>	Erika Stoddart Chair of Integrated Audit and Governance Committee
<b>GB Lead:</b> <i>(Name, Title)</i>	Erika Stoddart
<b>Director approval</b> <i>(Name)</i>	Emma Sayner Chief Finance Officer
<b>Director Signature</b>	

<b>Report Title:</b>
Integrated Audit and Governance Committee Summary Report
<b>Decisions to be made:</b>
To Assure the Governing Body about the work of the Audit Committee

<b>Link to a Strategic Objective?</b>	<input type="checkbox"/>	
<b>Link to a Strategic Risk</b>	<input type="checkbox"/>	

<b>Continue to improve the quality of services</b>	<input type="checkbox"/>	<b>Improve patient experience</b>	<input type="checkbox"/>		
<b>Reduced unwarranted variations in services</b>	<input type="checkbox"/>	<b>Reduce the inequalities gap in North Lincolnshire</b>	<input type="checkbox"/>		
<b>Deliver the best outcomes for every patient</b>	<input type="checkbox"/>	<b>Statutory/Regulatory</b>	<input type="checkbox"/>		
<b>Purpose (tick one only)</b>	Approval <input type="checkbox"/>	Information <input type="checkbox"/>	To note <input type="checkbox"/>	Decision <input type="checkbox"/>	Assurance <input checked="" type="checkbox"/>

<b>Executive Summary (Question, Options, Recommendations):</b>	
To note the attached summary covers the meeting held on the 4 <sup>th</sup> July 2018	
<b>Recommendations</b>	1 2 3
<b>Report history</b>	
<b>Equality Impact</b>	Yes <input type="checkbox"/> No <input type="checkbox"/>
<b>Sustainability</b>	Yes <input type="checkbox"/> No <input type="checkbox"/>
<b>Risk</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
<b>Legal</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

<b>Finance</b>	Yes <input type="checkbox"/> No <input type="checkbox"/>	
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<b><i>Patient, Public, Clinical and Stakeholder Engagement to date</i></b>									
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	<i>N/A</i>	<i>Y</i>	<i>N</i>	<i>Date</i>		<i>N/A</i>	<i>Y</i>	<i>N</i>	<i>Date</i>
<b>Patient:</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<b>Clinical:</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Public:</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<b>Other:</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

## **Integrated Audit & Governance Committee (IA&GC) Update for August Governing Body**

### Internal audit

Internal audit updated on progress. It was pleasing to see that internal audit recommendations were being completed. There is a change in how Auditone will deliver Internal Audit as they will no longer follow up on low risk recommendations. The Chief Finance Officer and the Chair will be looking at a local solution to ensuring that these are reviewed.

There was a discussion on Strategic Objectives. It was felt by the committee that further work needed to be done on the Objectives and this needed to be fed back to the Governing Body. This is to ensure there is a Golden Thread through the organisation from strategic objectives to personal objectives. Note: there has been a review of commissioning strategic objectives in July but more work is required.

The Committee discussed the survey results. There was only one negative area and that is getting papers in a timely manner. This is difficult for end of year accounts as they are often fluid until final signature. The Chair has asked anyone concerned over timeliness of papers to contact her. We will also be looking at training for audit members.

Strategic and Corporate risk registers were discussed and there has been a lot of work on these to review. Risk appetite will now be added which will hopefully make the registers even more meaningful.

There was a question from the Doctor member of the Audit Committee as to whether Optimise Rx for medicines was as effective on quality as having a pharmacist visit practices to discuss medicine management. This will be forwarded to the new Associate Medical Director.

There was a paper on Conflicts of Interest (COI) and an audit on this area gave a good level of assurance. There are a few actions to improve this including chasing up on any outstanding COI forms.

There was Q4 report on Freedom of Information (FOI) requests. This was satisfactory.

We looked in detail at Clinical and Patient Safety on the Business Assurance Map. The committee have asked for clarification at its November meeting that we are fully compliant with the latest guidance by end of October 2018.

We reviewed the agenda and minutes for the Health and Safety Committee, the Quality, Performance and Finance Committee and the Planning and Commissioning Committee.

The Committee also looked at the front sheet for meetings and determined that there were several additions needed. This has now been completed and agreed at Executive Management Team.

**Erika Stoddart**

**Lay Chair of Governance and Chair of the Integrated Audit and Governance Committee**

**30 July 2018**

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