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|------------------------|---------------------------------|
| <b>Date:</b>           | 11 <sup>th</sup> October 2018   |
| <b>Meeting:</b>        | Governing Body                  |
| <b>Item Number:</b>    | Item 12.2                       |
| <b>Public/Private:</b> | Public <input type="checkbox"/> |

|   |
|---|
| <b>Report Title:</b>  |
| Integrated Audit & Governance Committee Summary Report  |
| <b>Decisions to be made:</b>  |
| To Assure the Governing Body about the work of the Integrated Audit and Governance Committee. |

|   |  |
|---|--|
| <b>Author:</b><br><i>(Name, Title)</i>    | Erika Stoddart<br>Chair - Integrated Audit & Governance Committee                  |
| <b>GB Lead:</b><br><i>(Name, Title)</i>   | Erika Stoddart<br>Lay Chair of Governance  |
| <b>Director approval</b><br><i>(Name)</i> | Emma Sayner<br>Chief Finance Officer   |
| <b>Director Signature</b>                 |  |

|                                       |                          |   |
|---------------------------------------|--------------------------|---|
| <b>Link to a Strategic Objective?</b> | <input type="checkbox"/> | The work of the committee provides assurance of systems and processes that supports the entire organisation including meeting its strategic objectives. |
| <b>Link to a Strategic Risk</b>       | <input type="checkbox"/> |   |

|  |                                      |  |                                     |                                      |                |
|--|--------------------------------------|--|-------------------------------------|--------------------------------------|----------------|
| <b>Continue to improve the quality of services</b> | <input type="checkbox"/>             | <b>Improve patient experience</b>                        | <input type="checkbox"/>            |                                      |                |
| <b>Reduced unwarranted variations in services</b>  | <input type="checkbox"/>             | <b>Reduce the inequalities gap in North Lincolnshire</b> | <input type="checkbox"/>            |                                      |                |
| <b>Deliver the best outcomes for every patient</b> | <input type="checkbox"/>             | <b>Statutory/Regulatory</b>                              | X                                   |                                      |                |
| <b>Purpose (tick one only)</b>                     | Approval<br><input type="checkbox"/> | Information<br><input type="checkbox"/>                  | To note<br><input type="checkbox"/> | Decision<br><input type="checkbox"/> | Assurance<br>X |

|  |   |
|--|---|
| <b>Executive Summary (Question, Options, Recommendations):</b>                         |   |
| To note the attached summary covers the meeting held on the 4 <sup>th</sup> July 2018. |   |
| <b>Recommendations</b>   | 1 The Governing Body are requested to discuss and note the paper. |
| <b>Report history</b>  |   |
| <b>Equality Impact</b>   | Yes <input type="checkbox"/> No <input type="checkbox"/>          |
| <b>Sustainability</b>  | Yes <input type="checkbox"/> No <input type="checkbox"/>          |

|                |                                      |  |
|----------------|--------------------------------------|--|
| <b>Risk</b>    | Yes X    No <input type="checkbox"/> |  |
| <b>Legal</b>   | Yes X    No <input type="checkbox"/> |  |
| <b>Finance</b> | Yes X    No <input type="checkbox"/> |  |

| <b><i>Patient, Public, Clinical and Stakeholder Engagement to date</i></b> |                            |                          |                          |                    |                  |                            |                          |                          |                    |
|--|----------------------------|--------------------------|--------------------------|--------------------|------------------|----------------------------|--------------------------|--------------------------|--------------------|
|  | <b><i>N/A</i></b>          | <b><i>Y</i></b>          | <b><i>N</i></b>          | <b><i>Date</i></b> |                  | <b><i>N/A</i></b>          | <b><i>Y</i></b>          | <b><i>N</i></b>          | <b><i>Date</i></b> |
| <b>Patient:</b>  | x <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |                    | <b>Clinical:</b> | x <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |                    |
| <b>Public:</b>   | x <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |                    | <b>Other:</b>    | x <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |                    |

## **Integrated Audit & Governance Committee (IA&GC) Update for October Governing Body**

### External Audit

Mazars has indicated that as we are now out of directions we will need to consider how this impacts the 2018/19 accounts, we will be exploring this in future committees. The Committee also discussed the National Audit Office Transformation Guidance for Audit Committees and agreed to bring this back to the next meeting.

### Internal Audit

The Annual Audit Report showed that the organisation was “Reasonable Assurance” this needs to be improved upon for the following year.

### Financial report Month 4

The CFO gave a report on the month 4 finances. This showed good progress against our budget.

### Risk

The Committee discussed the strategic and corporate risk registers. A number of suggestions were made including keeping a risk on the register until it is green and having contingency plans in place for those risks that are not expected to go come back within appetite quickly.

### Assurance Map

The Committee asked that the Assurance Map be updated to show the revised Executive Management Team structure. The Committee looked at Quality on the Assurance Map.

Members were not assured that the NL&G quality status report was adequate in ensuring better quality outcomes.

The QIPP entry needs to be updated with latest plans.

External meetings need to be included in the Assurance Map as elements of assurance

### General Data Protection Regulations (GDPR)

The Committee was given an update of the work to date on GDPR and was assured that this is being adequately managed.

**Erika Stoddart**

**Lay Chair of Governance and Chair of the Integrated Audit and Governance Committee**

**02 October 2018**