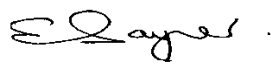


<b>Date:</b>	13 <sup>th</sup> December 2018
<b>Meeting:</b>	Governing Body
<b>Item Number:</b>	Item 11.2
<b>Public/Private:</b>	Public

<b>Report Title:</b>
Audit Committee Assurance Report
<b>Decisions to be made:</b>
To Assure the Governing Body about the work of the Audit Committee

<b>Author:</b> <i>(Name, Title)</i>	Erika Stoddart Lay Chair of Governance and Chair of the Integrated Audit and Governance Committee
<b>GB Lead:</b> <i>(Name, Title)</i>	Erika Stoddart Lay Chair of Governance and Chair of the Integrated Audit and Governance Committee
<b>Director approval</b> <i>(Name)</i>	Emma Sayner
<b>Director Signature</b>	

<b>Link to a Strategic Objective?</b>	X	The work of the committee provides assurance of systems and processes that supports the entire organisation including meeting its strategic objectives.
<b>Link to a Strategic Risk</b>	<input type="checkbox"/>	

<b>Continue to improve the quality of services</b>	<input type="checkbox"/>	<b>Improve patient experience</b>	<input type="checkbox"/>		
<b>Reduced unwarranted variations in services</b>	<input type="checkbox"/>	<b>Reduce the inequalities gap in North Lincolnshire</b>	<input type="checkbox"/>		
<b>Deliver the best outcomes for every patient</b>	<input type="checkbox"/>	<b>Statutory/Regulatory</b>	X		
<b>Purpose (tick one only)</b>	Approval <input type="checkbox"/>	Information <input type="checkbox"/>	To note <input type="checkbox"/>	Decision <input type="checkbox"/>	Assurance X <input type="checkbox"/>

<b>Executive Summary (Question, Options, Recommendations):</b>	
To note the attached summary covers the meeting held on the 7 <sup>th</sup> November 2018	
<b>Recommendations</b>	1 The Governing Body are requested to discuss and note the paper.
<b>Report history</b>	

<b>Equality Impact</b>	Yes <input type="checkbox"/>	No <input type="checkbox"/>	
<b>Sustainability</b>	Yes <input type="checkbox"/>	No <input type="checkbox"/>	
<b>Risk</b>	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	
<b>Legal</b>	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	
<b>Finance</b>	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	

<b><i>Patient, Public, Clinical and Stakeholder Engagement to date</i></b>									
	<b><i>N/A</i></b>	<b><i>Y</i></b>	<b><i>N</i></b>	<b><i>Date</i></b>		<b><i>N/A</i></b>	<b><i>Y</i></b>	<b><i>N</i></b>	<b><i>Date</i></b>
<b>Patient:</b>	X	<input type="checkbox"/>	<input type="checkbox"/>		<b>Clinical:</b>	X	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Public:</b>	X	<input type="checkbox"/>	<input type="checkbox"/>		<b>Other:</b>	X	<input type="checkbox"/>	<input type="checkbox"/>	

## **Integrated Audit & Governance Committee (IA&GC) Update for October Governing Body**

### External Audit

Mazars gave their report on the upcoming audit for 2018/19.

### Internal Audit

Internal Audit gave their report on Medicine Management. There are a number of reports that are due to be finalised.

### Counter Fraud

Counter Fraud gave their report and have agreed to do some internal training for staff and the board shortly.

### Financial report Month 6

The CFO gave a report on the month 6 finances. The one area of concern was the coding and data inaccuracies on the NLAG contract.

### Waiving of Prime Financial Policies

There were 6 contracts that were awarded via single source tender, the Committee were comfortable that all of these were valid.

### Review of Financial Governance

The Committee received the proposed changes to the Financial Governance and Delegation arrangements for the CCG. This was agreed and will be implemented from 1 Dec 2018.

### Risk

The Committee discussed the strategic and corporate risk registers. A number of minor suggestions were made. Though a work in progress the committee were pleased with the improvements to the risk register including the type of risk being included for the first time.

### Assurance Map

The Executive Team will be reviewing the assurance map now that the new team is in place. The Committee reviewed Commissioning, Procurement and Contract Management in detail and were comfortable that satisfactory progress was being made though there are still improvements to be made. As with risk there has been real improvement in this area.

### Procurement Panel Assurance Update

The Committee received a report on possible conflicts of interest on procurements. The committee were assured that where conflicts of interest arose they were flagged and dealt with appropriately.

### Procurement Policy

The Committee reviewed the new Procurement Policy and were comfortable with the updated content.

### Updates

The committee received updates on the work of Continuing Health Care, Transforming Care, Learning Disabilities and Mental Health. The Committee were assured that progress has been made in ensuring VfM and fair access.

### Primary Care Rebate Policy

The Committee reviewed the Primary Care Rebate Policy. They have asked for all proposed rebates to be a separate report to the Audit Committee in line with the policy and have asked for any rebates that are already active to be included on the website as per the policy.

### Subject Access Request Policy

The Subject Access Request Policy was agreed by the Committee.

### National Audit Office (NAO) Transformation Guidance

The Committee reviewed the NAO Transformation Guidance. This is a report to Audit Committees on the assurance questions to ask on major transformation programs. TI was agreed that once the strategy has been finalised and programs decided that the committee will decide which ones need to be reviewed using the new framework. This is to ensure that transformation programs meet agreed outcomes.

**Erika Stoddart**

**Lay Chair of Governance and Chair of the Integrated Audit and Governance Committee**

**22 November 2018**