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| **1. Equality Impact Analysis** | |
| **Policy / Project / Function:** | Procurement Policy  NHS North Lincolnshire CCG |
| **Date of Analysis:** | November 2013 |
| **This Equality Impact Analysis was**  **completed by:**  **(Name and Department**) | Gary Metcalfe (Head of Procurement)  North Yorkshire and Humber CSU |
| **What are the aims and intended effects of this policy, project or function ?** | This document sets out how NHS NL CCG procurement will be in proportion to risk and will be used to support  clinical priorities, health and well-being outcomes and  wider CCG objectives.  To facilitate open and fair, robust and enforceable contracts that provide value for money and deliver required quality standards and outcomes, with effective performance measures and intervention protocols. |
| **Please list any other policies that are related to or referred to as part of this analysis?** | Prime Financial Policies Sustainable Procurement Policy  Business Conduct Policy |
| **Who does the policy, project or function affect ?**  Please Tick  | Employees   Service Users   Members of the Public Other (List Below) |

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| 2. **Equality Impact Analysis: Screening** | | | | |  |
|  | Could this policy have a positive impact on… | | Could this policy have a negative impact on… | | Is there any evidence which already exists from previous  (e.g. from previous engagement) to evidence this impact |
| Yes | No | Yes | No |  |
| **Race** |  |  |  |  |  |
| **Age** |  |  |  |  |  |
| **Sexual Orientation** |  |  |  |  |  |
| **Disabled People** |  |  |  |  |  |
| **Gender** |  |  |  |  |  |
| **Transgender People** |  |  |  |  |  |
| **Pregnancy and Maternity** |  |  |  |  |  |
| **Marital Status** |  |  |  |  |  |
| **Religion and Belief** |  |  |  |  |  |
| **Reasoning** | This policy document does not directly impact on any specific services, but sets a framework that will influence the selection of service providers once service requirements have been identified. As such, there is no impact on any protected group from the procurement policy - the impact on protected groups of individual services will be assessed as the need arises.  A wide range of stakeholders have been consulted on this policy; any equality issues raised by them will reviewed and acted upon as appropriate, with this EQIA amended accordingly. | | | | |
| **If there is no positive or negative impact on any of the Nine Protected Characteristics go to Section 7** | | | | | |

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| 3. **Equality Impact Analysis: Local Profile Data** | |
| **Local Profile/Demography of the Groups affected** (population figures) | |
| **General** |  |
| **Age** |  |
| **Race** |  |
| **Sex** |  |
| **Gender reassignment** |  |
| **Disability** |  |
| **Sexual Orientation** |  |
| **Religion, faith and belief** |  |
| **Marriage and civil partnership** |  |
| **Pregnancy and maternity** |  |

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| 4. **Equality Impact Analysis: Equality Data Available** | |
| **Is any Equality Data available relating to the use or implementation of this policy, project or function?**  Equality data is internal or external information that may indicate how the activity being analysed can affect different groups of people who share the nine *Protected Characteristics* – referred to hereafter as *‘Equality Groups’.*  Examples of *Equality Data* include: (this list is not definitive)   1. Application success rates *Equality Groups* 2. Complaints by *Equality Groups* 3. Service usage and withdrawal of services by   *Equality Groups*  *4.* Grievances or decisions upheld and dismissed by  *Equality Groups*  5. *Previous EIAs* | Yes  No   Where you have answered yes, please incorporate this data when performing the *Equality Impact Assessment Test* (the next section of this document). |
| **List any Consultation e.g. with employees, service users, Unions or members of the**  **public that has taken place in the development or implementation of this policy, project or function** | This policy document does not directly impact on any specific services, but sets a framework that will  influence the selection of service providers once  service requirements have been identified. As such, there is no impact on any protected group from the procurement policy - the impact on protected groups of individual services will be assessed as the need arises.  A wide range of stakeholders have been consulted on this policy; any equality issues raised by them will reviewed and acted upon as appropriate, with this EQIA amended accordingly. |
| **Promoting Inclusivity**  **How does the project, service or function contribute towards our aims of eliminating discrimination and promoting equality and** |  |

**diversity within our organisation**

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| **5. Equality Impact Analysis: Assessment Test** | | | | |
| **What impact will the implementation of this policy, project or function have on employees, service users or other people who share characteristics protected by *The Equality Act 2010* ?** | | | | |
| **Protected Characteristic:** | **No Impact:** | **Positive Impact:** | **Negative Impact:** | **Evidence of impact and if applicable, justification where a *Genuine Determining Reason* exists** |
| **Gender**  (Men and Women) |  |  |  |  |
| **Race**  (All Racial Groups) |  |  |  |  |
| **Disability**  (Mental and Physical) |  |  |  |  |
| **Religion or Belief** |  |  |  |  |
| **Sexual Orientation**  **(Heterosexual, Homosexual and**  **Bisexual)** |  |  |  |  |
| **What impact will the implementation of this policy, project or function have on employees, service users or other people who share characteristics protected by *The Equality Act 2010* ?** | | | | |
| **Protected Characteristic:** | **No Impact:** | **Positive Impact:** | **Negative Impact:** | **Evidence of impact and if applicable, justification where a *Genuine Determining Reason* exists** |
| **Pregnancy and Maternity** |  |  |  |  |
| **Transgender** |  |  |  |  |
| **Marital Status** |  |  |  |  |
| **Age** |  |  |  |  |

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| **6. Action Planning** | | | | |
| **As a result of performing this analysis, what actions are proposed to remove or reduce any risks of adverse outcomes identified on employees, service users or other people who share characteristics protected by *The Equality Act 2010* ?** | | | | |
| **Identified Risk:** | **Recommended Actions:** | **Responsible Lead:** | **Completion Date:** | **Review Date:** |
| **None Identified** |  |  |  |  |
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| **7. Equality Impact Analysis Findings** | | | | | | | |
| **Analysis Rating:** |  | Red |  | Red/Amber |  | Amber | **Green** |

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|  | | Actions | Wording for Policy / Project / Function |
| **Red**  **Stop and remove the policy** | **Red:** As a result of performing the  analysis, it is evident that a risk of discrimination exists (direct, indirect, unintentional or otherwise) to one or more of the nine groups of people who share *Protected Characteristics.* It is recommended that the use of the policy be suspended until further work or analysis is performed. | **Remove the policy**  Complete the action plan above to identify the areas of discrimination and the work or actions which needs to be carried out to minimise the risk of discrimination. | No wording needed as policy is being removed |
| **Red Amber**  **Continue the policy** | As a result of performing the  analysis, it is evident that a risk of discrimination exists (direct, indirect, unintentional or otherwise) to one or more of the nine groups of people who share *Protected Characteristics.* However, a genuine determining reason may exist that could legitimise or justify the use of this policy and further professional advice should be taken. | **The policy can be published with the EIA**  List the justification of the discrimination and source the evidence (i.e. clinical need as advised by NICE).  Consider if there are any potential actions which would reduce the risk of discrimination.  Another EIA must be completed if the policy is changed, reviewed or if further discrimination is identified at a later date. | As a result of performing the analysis, it is evident that a  risk of discrimination exists (direct, indirect, unintentional or otherwise) to one or more of the nine groups of people who share *Protected Characteristics.* However, a genuine determining reason exists which justifies the use of this policy and further professional advice.  ***[Insert what the discrimination is and the justification of the discrimination plus any actions which could help what reduce the risk]*** |
| **Equality Impact Findings (continued):** | | | |
|  | | Actions | Wording for Policy / Project / Function |

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| **Amber**  **Adjust the Policy** | As a result of performing the  analysis, it is evident that a risk of discrimination (as described above) exists and this risk may be removed or reduced by implementing the actions detailed within the *Action Planning s*ection of this document. | **The policy can be published with the EIA**  The policy can still be published but the Action Plan must be monitored to ensure that work is being carried out to remove or reduce the discrimination.  Any changes identified and made to the service/policy/ strategy etc. should be included in the policy.  Another EIA must be completed if the policy is changed, reviewed or if further discrimination is identified at a later date. | As a result of performing the analysis, it is evident that a  risk of discrimination (as described above) exists and this risk may be removed or reduced by implementing the actions detailed within the *Action Planning s*ection of this document.  ***[Insert what the discrimination is and what work will be carried out to reduce/eliminate the risk]*** |
| **Green**  **No major change** | As a result of performing the  analysis, the policy, project or function does not appear to have any adverse effects on people who share *Protected Characteristics* and no further actions are recommended at this stage. | **The policy can be published with the EIA**  Another EIA must be completed if the policy is changed, reviewed or if any discrimination is identified at a later date | As a result of performing the analysis, the policy, project  or function does not appear to have any adverse effects on people who share *Protected Characteristics* and no further actions are recommended at this stage. |

**Brief Summary/Further comments**

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| **Approved By** | | |
| Job Title: | Name: | Date: |
| Head of procurement | Gary Metcalfe | 16 November 2013 |