


MEETING DATE:	14 March 2013	 North Lincolnshire Clinical Commissioning Group REPORT TO THE CLINICAL COMMISSIONING GROUP COMMITTEE/GOVERNING BODY
AGENDA ITEM NUMBER:	Item 8.3	
AUTHOR:	Therese Paskell	
JOB TITLE:	Chief Financial Officer	
DEPARTMENT:	Finance and Business Support	

AUDIT GROUP REVISED WORK PLAN

PURPOSE/ACTION REQUIRED:	Decisions for Approval
CONSULTATION AND/OR INVOLVEMENT PROCESS:	Previous versions have been to the CCG Audit Group and HCAC
FREEDOM OF INFORMATION:	Public

1. PURPOSE OF THE REPORT:					
Following a CCG Audit Group self-assessment using the recommended checklist, it was identified as good practice to periodically update the Committee where changes have been made to the Audit Group Work Plan it originally approved.					
2. STRATEGIC OBJECTIVES SUPPORTED BY THIS REPORT:					
Continue to improve the quality of services	x				
Reduce unwarranted variations in services					
Deliver the best outcomes for every patient					
Improve patient experience					
Reduce the inequalities gap in North Lincolnshire					
3. IMPACT ON RISK ASSURANCE FRAMEWORK:					
	<table border="1" style="display: inline-table;"> <tr> <td>Yes</td> <td style="text-align: center;">x</td> <td>No</td> <td></td> </tr> </table>	Yes	x	No	
Yes	x	No			
Provides additional assurance to the Committee of independent review by the Audit Group. Demonstrates a practical approach by the Audit Group to meet the needs and risks of the organisation which will form part of the Trust Legacy documentation.					

4. IMPACT ON THE ENVIRONMENT – SUSTAINABILITY:			
Yes		No	X
5. LEGAL IMPLICATIONS:			
Yes	x	No	
The Audit Groups remit includes reviewing/ensuring PCT liabilities are fully captured as part of the Accounts. In addition the Audit Groups remit includes independent review of the CCGs legislative compliance and supporting processes.			
6. RESOURCE IMPLICATIONS:			
Yes	x	No	
Draft Budgets for next year include GP input to the Audit Group. A cost benefit analysis of the Audit Group will be planned in as part of next year's work plan.			
7. EQUALITY IMPACT ASSESSMENT:			
Yes		No	x
Not required as is not a policy/procedure or guidance.			
8. PROPOSED PUBLIC & PATIENT INVOLVEMENT AND COMMUNICATIONS:			
Yes		No	x
Earlier versions of this work plan have already been to the Audit Group			
9. RECOMMENDATIONS:			
The CCG Committee is asked to: -			
<ul style="list-style-type: none"> • Approve the revised and extended Audit Group work plan which will cover the Audit of the old PCT accounts. • Receive a new Audit Group work plan for the CCG for 13/14 at May's CCGC. 			

NHS NORTH LINCOLNSHIRE

REVISED AUDIT GROUP WORK PLAN until 30 May 2013

Business Item	April	May	30th	20th	31st	12th	18th	30th
	2012	2012	Aug 2012	Nov 2012	Jan 2013	March 2013	April 2013	May 2013
HCAC Locality governance report and actions	x	x	x	x	x	x	x	x
Governance Issues /Assurance Framework /Risks	x	x	x	x	x	x	x	x
Internal Audit Audit Plan and Costs	x					x	x	
Internal Audit Periodic Reports	x	x	x	x	x	x	x	x
Internal Audit Annual Report & Opinion Statement	x	x					x	x
External Audit Audit Plan and Costs	x			x		x	x	
External Audit Reports	x	x	x	x		x	x	x
External Audit Year End Report / Annual Letter		x	x	x				x
Annual Accounts/Letter of Representation, Annual Report and Report Matters/timetable etc	x	x			x	x	x	x
Audit Group Annual Work Plan (30 April)	x			x	x		x	
C Q C declarations/ registrations	x					x	x	
Statement on Internal Control/Annual Governance Statement	x	x					x	x
Self Assessment Checklist, T of R, other Comm.ToR				x	x	x		
Local Counter Fraud Reports and Related Issue	x	x	x	x	x	x	x	x
Issues from T of R, losses, special payments, write offs etc	x	x	x	x	x	x	x	x
Private Discussion with Auditors			x		x		x	as needed
Changes to Accounting Policies/ S Orders etc	as needed	as needed	as needed	x		x	as needed	as needed
Annual Meeting Arrangements Dates/ Times etc			as needed	as needed	as needed	as needed	as needed	

Note * Consideration of Annual Accounts on 18 April 2012 (extra-ordinary CCGC) and 30 May 2012. (HCAC on 19 April and 31st May)
 We will remain flexible, in this changing environment, to our work, meeting dates and it maybe possible to reduce the number of meetings.